



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Ken'ichi SUGAWARA et al.

Patent Art Unit: 3653

Serial No.: 10/645,624

Filed: August 22, 2003

For: ROTOR BRAKING DEVICE FOR  
SPINNING REEL

**INFORMATION DISCLOSURE STATEMENT WITH CERTIFICATION**

Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

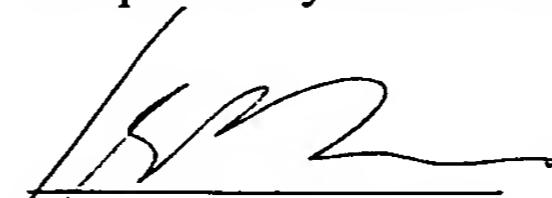
In accordance with MPEP 609 and 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant brings the attached reference to the Examiner's attention and requests that it be considered and made of record in this application. Also attached is a completed PTO-1449 form.

I hereby certify that each item of information in the Information Disclosure Statement filed herewith was cited for the first time in any communication from a foreign patent office in any counterpart foreign application not more than three months prior to the filing of this information disclosure statement. A copy of European Search Report of the corresponding European Application (No. 03394074, 3-2313) is attached hereto.

***No fee is believed to be required in view of the above certification.*** However, Applicant[s] authorize[s] the Commissioner of Patents and Trademarks to charge payment of the Petition fee Under 37 C.F.R. §1.17(p) ***if required*** and any other fees associated with this communication or credit any overpayment to Deposit Account No. 50-1836.

Japanese Patent Application Publication 10-4839 discloses a fishing reel having a lever member 60 that pivots together with the movement of the bail arm 50, and the braking member 70 that contacts the reel body 1 and generates a braking force to the lever member 60 when the lever member 60 is driven toward the reel body 1.

Respectfully submitted,



Kiyo K. Kabashima  
Reg. No. 54,874

SHINJYU GLOBAL IP COUNSELORS, LLP  
1233 Twentieth Street, NW, Suite 700  
Washington, DC 20036  
Phone No. (202)-293-0444  
Dated: Mar 31 2004

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

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of 1

<i>Complete if Known</i>	
Application Number	10/645,624
Filing Date	08/22/2003
First Named Inventor	Ken'ichi SUGAWARA et al.
Group Art Unit	3653
Examiner Name	
Attorney Docket Number	SN-US020199

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two letter code (WIPO Standard ST 3). <sup>4</sup> For Japanese patent documents, the indication of the

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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